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Three-dimensional reconstruction using stereoradiography for evaluating adult spinal deformity: a reproducibility study

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Abstract

Purpose In addition to the sagittal alignment, impact of transverse plane parameters (TPP) and rotatory subluxation on patients reported outcomes were highlighted. One of the hypotheses for genesis of degenerative scoliosis is disc degeneration with increased axial vertebral (AVR) and intervertebral rotation (AIR). Therefore, TPP analysis at early stage of the scoliosis seems of particular interest. This study aims at assessing reliability of tridimensional (3D) reconstructions of adult spinal deformity (ASD) patients.

Methods Thirty ASD patients underwent biplanar radiographs and were divided into two groups (Cobb angle $>30^\circ$ or $<30^\circ$). Spinal parameters and TPP (apical AVR, AIR of upper and lower level of main curve) were measured. Four operators performed 3D reconstructions twice. Intra and inter-observer reliabilities were analyzed using ISO standard 5725-2, to quantify the global standard deviation of reproducibility (S_R).

Results Mean Cobb angle was 31° , mean age 55 years (70% of female). Mean values of apical AVR, upper and lower level AIR were, respectively, $16^\circ \pm 15^\circ$, $6^\circ \pm 6^\circ$ and $5^\circ \pm 5^\circ$. Spinopelvic parameters S_R were below 4.5° . For Cobb angle $<30^\circ$, S_R was 7.8° , 9.6° , 4.5° and 4.9° , respectively, for AVR apex, torsion index, upper and lower

AIR. Reliability was worse in the group of patients with Cobb angle above 30° .

Conclusions 3D analysis was reliable for Cobb and sagittal parameters. 3D analysis for TPP was reproducible when Cobb is below 30° . However, uncertainty is larger for Cobb above 30° . Nevertheless, 3D reconstructions could help surgeons to anticipate onset of rotatory subluxation while assessing axial rotation evolution for small deformity and choose best delay for surgical treatment.

Keywords Adult spinal deformity · Tridimensional analysis · Transverse plane parameters · ISO standard · Reproducibility

Introduction

Scoliosis is a three-dimensional deformity, as such its radiographic analysis needs to be performed conjointly in the coronal, sagittal and axial [1]. Historically, the Cobb angle has been considered as the main parameter to describe the severity of scoliosis, with the most severe deformity at the apical vertebra [2–4]. In the past decades, the importance of analyzing radiographic images in the sagittal plane has been emphasized in light its correlation with pain and disability is now well recognized [5–7]. While there is a paucity of data regarding the analysis of the axial plane in the setting of adult spinal deformity (ASD), rotatory subluxation has been found to be associated with patient reported outcomes [6]. Since most of the clinical investigations rely on 2D images, numerous methods have been developed to estimate the vertebral axial rotation based on the projection of the pedicles or spinous process on coronal X-ray [8–11]. However, in severe deformity measurement of pedicle shadow does not

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correspond to the true shape [12]. Since, one of the hypotheses for genesis of degenerative scoliosis is disc degeneration with increased axial vertebral (AVR) and intervertebral rotation (AIR), transverse plane analysis at early stage of the scoliosis seems of particular interest.

In an effort to characterize the axial plane, the radiographic evaluation of ASD patients is often complemented by an MRI or CT scan examination. One of the limitation common to these two modalities relates to the fact that the acquisition is not performed in a weight bearing position, and therefore, can lead to a failure to identify patterns of deformity that could result in pain or functional impairments. In addition, the radiation exposure during CT scan acquisition may be an issue in the setting of longitudinal investigations [13]. Since 2007, a new imaging system, available in clinical practice, permits to obtain low-dose full-spine biplanar stereoradiography in a standing position. Based on a dedicated algorithm, these biplanar images can be analyzed to generate 3D reconstructions of the spine with transverse plane analysis [14, 15]. The measurements validity of this stereoradiographic system has been reported in pre- and post-operatively in the setting of idiopathic scoliosis, as well as the clinical relevance of 3D analysis with transverse plane [3, 16, 17].

To our knowledge no validity study of this 3D reconstruction software has been performed in ASD. Aim of the current study was to assess the reliability of the 3D measurement with stereoradiography images in ASD patients without previous spinal surgery.

Methods

Patients selection

In this retrospective single center study, thirty ASD patients randomly selected from an existing 3D database were included after institutional review board approval. Inclusion criteria were ASD patients, primary cases with different curves severity, with a main Cobb angle at least of 10°. To limit sample bias and provide a representative range of ASD, the 30 patients were recruited among different value of axial intervertebral rotation (AIR): 10 patients with AIR below 5°, 10 patients with AIR between 5° and 10°, and 10 patients with AIR above 10°. Exclusion criteria included previous spinal surgery with instrumentation, diagnoses of scoliosis other than ASD (i.e., neurologic, congenital, traumatic, neoplastic).

Stereoradiographic system

As part of routine clinical practice, patients underwent low-dose biplanar full-spine stereoradiographic images with

EOS© system (EOS imaging, Paris, France). EOS is a slot scanning radiologic device that consisted of two orthogonal X-ray sources, allowing simultaneous acquisition of two images, avoiding image distortion [18, 19]. Radiography was performed on a standardized protocol: patient upright, with horizontal gaze, and fingers on the clavicles to avoid superimposition of the arm on the spine [20, 21]. All images included at least the skull to the femoral heads.

3D parameters

Four observers performed independently the 3D measurements two times (a week apart), for each of the thirty patients (240 reconstructions). Among the four observers, three were spine surgeons (one was familiar with the 3D measurement method) and one was a medical student.

3D spinal reconstructions used SterEOS© software, version 1.2.1 (EOS imaging, Paris, France) based on identifiable anatomic points. The end vertebrae for each curve of the deformity were defined as described by Cobb with the most tilted vertebrae on coronal X-rays, by an experienced observer [22]. The apical vertebra was defined as the most rotated vertebra in the axial plane. The four observers used the same apex, upper and lower levels for all the patients. In the sagittal plane, spinal parameters were measured with L1S1 lordosis, T1T12 and T4T12 kyphosis, and pelvic parameters with pelvic incidence (PI), pelvic tilt (PT), and sacral slope (SS). Of note, a negative PT corresponded to a pelvic anteversion and negative values for T1T12 or T4T12 meant that the thoracic area was lordotic (Fig. 1). Coronal parameters included Cobb angle of the thoracic curve (Cobb 1), the thoraco-lumbar curve (Cobb 2) and the lumbar curve (Cobb 3). Main Cobb corresponded to the largest of the three curves. The 3D vertebral and intervertebral orientations were expressed in the axial, frontal and sagittal planes. The relative intervertebral rotation was defined as superior vertebral rotation with respect to the underlying vertebra. Transverse plane parameters included apical axial vertebral rotation (AVR) and axial intervertebral rotation (AIR), with AIR of the upper level of the main curve (upper AIR) and AIR of the lower level of the curve (lower AIR). The torsion index (sum of the AIR within the main curve) was also computed [23] (Fig. 2).

3D reconstructions

Based on parametric model previously described [24], 3D reconstructions of the spine were performed. The first step was to spot the sacral endplate with a line and the acetabuli with two circles. Thus, the patient plane can be defined

Fig. 1 Coronal and sagittal parameters measurements

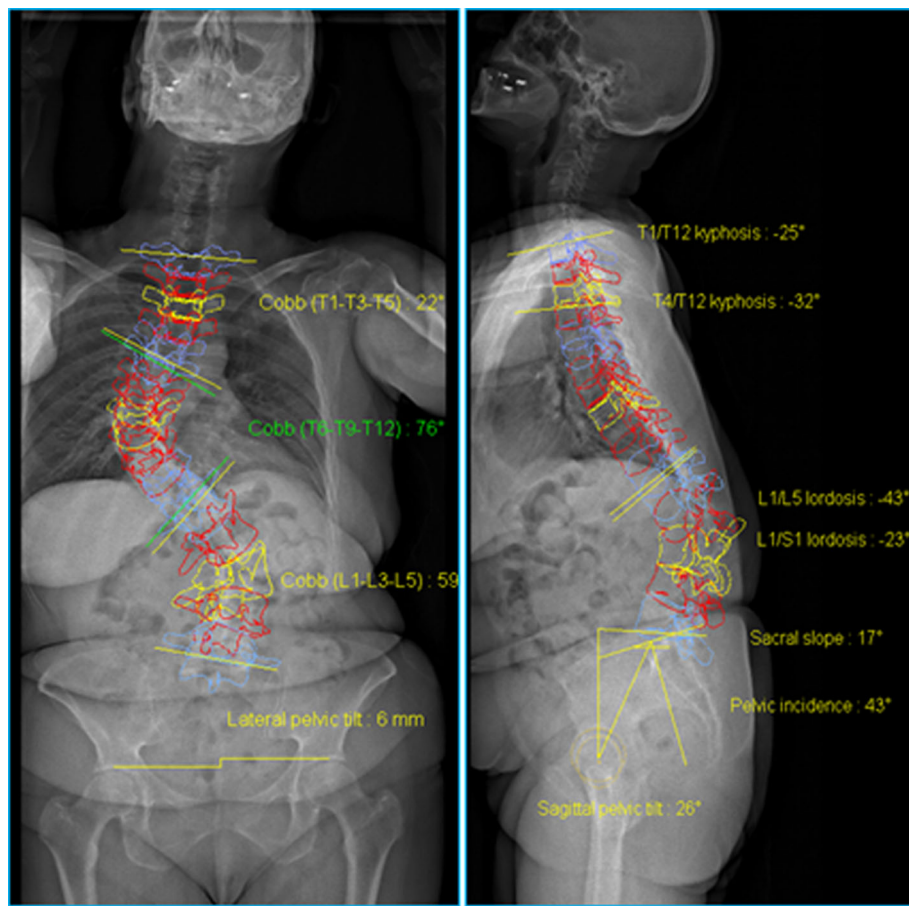
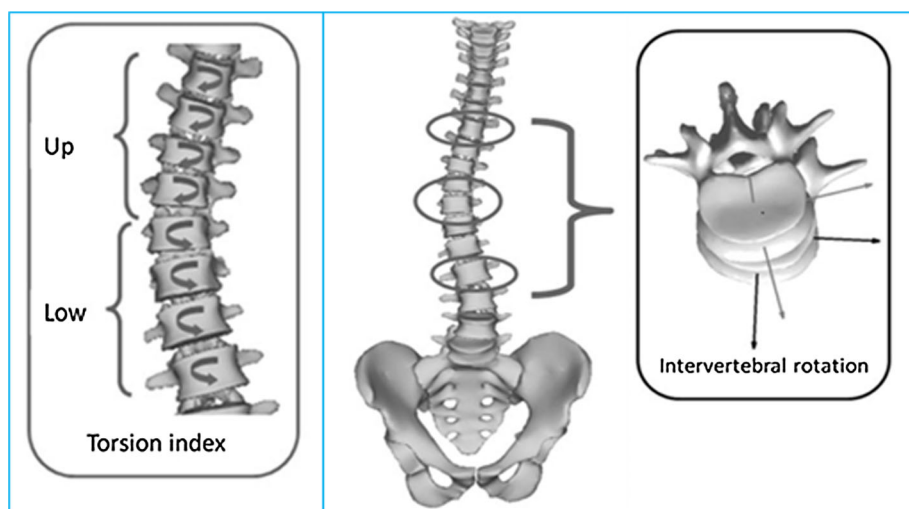


Fig. 2 Transverse plane parameters



with the central hip vertical axis as described by the scoliosis research society [25]. Second, the global shape of the spine and the lower endplate of L5 are located on sagittal and coronal view. Third, 3D model of the spine was generated using a combined geometric and statistical model [26]. Then, each vertebra from T1 to L5 was accurately adjusted using three control points on the upper and lower

endplates and using an ellipsis for each pedicle. Finally, the observer checked the adjustment of each landmark.

Statistical analysis

First, a descriptive analysis of the cohort was performed in terms of coronal, sagittal and axial 3D parameters. Then,

according to International Standardization Organization (ISO) recommendation intra-observer and inter-observer reliabilities were analyzed [42]. Reliability of the measurements was computed using ISO standard 5725-2, which allows uncertainty estimation by the calculation of the variance of reproducibility (S_R^2) which is the sum of the mean of variance of intra-observers measurements (S_I^2) and of the variance of inter-observers measurements (S_L^2):

$$S_R^2 = S_I^2 + S_L^2.$$

As recommended by ISO standard, potential outliers were identified using Bland and Altman graphs [27] (Fig. 3). A thorough review of the measurements was performed by an expert board and measurement errors inherent to observers or inherent to the method were identified. Outliers with errors resulting from the method were kept, whereas a third round of measurement was performed for patients with aberrant error made by observers.

Intraclass coefficient of correlation (ICC) was also calculated as another mean to assess intra-observer repeatability and inter-observer reproducibility. An ICC greater than 0.91 was considered as very good, an ICC between 0.90 and 0.71 as good, an ICC between 0.70 and 0.51 as moderate and an ICC lower than 0.50 as poor [28]. To analyze the reproducibility depending on the severity of the deformity, a subgroup analysis was performed. Patients were divided in two groups according to main Cobb angle measurements: above or below 30°. Data were statistically analyzed using Stata software 13.0 (Statacorp, College Station, Texas) and Matlab (Mathworks, Natick, Massachusetts).

Results

Descriptive analysis

Mean age of the 30 patients was 55 (SD:13) years, with a majority of female (70%). The deformity was mild with a mean Cobb angle of 12° for thoracic curves (Cobb 1), 25° for thoraco-lumbar curves (Cobb 2) and 27° for lumbar curves (Cobb 3) with the largest curve of 79°. AVR apex was higher for lumbar curves. Range of AVR apex for thoracic, thoraco-lumbar and lumbar curve were, respectively, small, moderate and very large (0°–98.9°) (Table 1).

Mean Cobb angle of the main curve was 31°. Transverse plane parameters of the main curve were 15.7°, 29.9°, 6.2° and 4.8° for, respectively, AVR apex, torsion index, upper and lower AIR (Table 2). The most important axial intervertebral rotation was reported at L2L3 (8.3°, SD:8.9°) and L3L4 (7.3°, SD:8.7°). The widest range of intervertebral rotation was observed for L3L4 AIR: 0°–68°.

The mean values of sagittal spinal and pelvic parameters are reported in Table 3. The range of PI was very large with a mean value of 54.9°. The mean PT was 20.5°. Mean lumbar lordosis was 47.0° and mean T1T12 thoracic kyphosis was 38.2°.

Reliability analysis

Sagittal parameters reliability was good with a S_R between 3° and 4° and ICC corresponded to a very good reproducibility (>0.930). In terms of Cobb angle and AVR apex, S_R was below 5° for Cobb angles, around 5° for AVR apex of the thoracic and thoraco-lumbar curves, almost 10° for AVR apex of the lumbar curve.

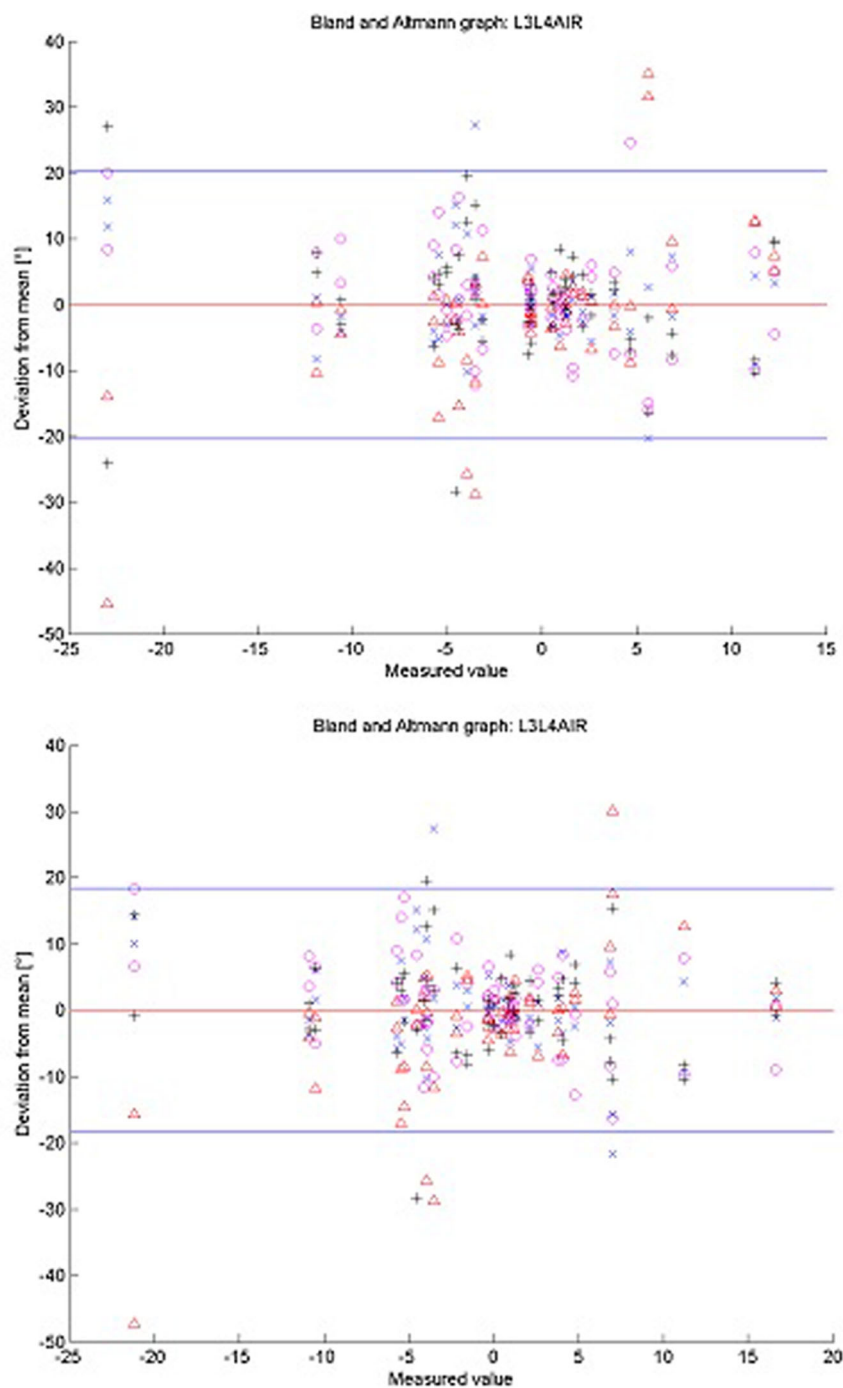
Reliability of transverse plane parameters of the main curve was reported in Table 4. S_R (with one standard deviation) results of transverse plane parameters were different for each patient group according to Cobb angle (Table 4). For Cobb angle <30°, S_R was 7.8°, 9.6°, 4.5° and 4.9°, respectively, for AVR apex of the main curve, torsion index, upper and lower AIR. Reliability was worst in the group of patients with Cobb angle above 30°. In terms of intervertebral rotation, measurements repeatability and reproducibility was lower for axial intervertebral rotation than coronal or sagittal intervertebral rotation.

Discussion

Measurement of radiographic parameters is essential to understand and treat patients with spinal deformity. More recently, the importance of 3D analysis has been emphasized, especially transverse plane parameters [12, 23, 29–32]. In the current study, the reliability of 3D reconstructions of the vertebral column of ASD patients was quantitatively assessed yielding new data on transverse plane parameters reproducibility.

In the past decade, impact of sagittal alignment on patients reported outcomes has been highlighted [5–7]. Besides of sagittal parameters, significant correlations were observed between patients reported outcomes and 3D parameters such as vertebral rotation [33]. In a previous work, the authors demonstrated that rotatory subluxation in ASD patients was significantly associated with low back pain and radiculalgia [32]. However, in this study, rotatory subluxation was defined with lateral listhesis measurement without axial rotation uncertainty evaluation. They also observed that 10% of the patient had axial rotation above 10° without visible lateral listhesis on 2D radiographic images. Consequently, 3D evaluation of ASD seems essential. Moreover, the intervertebral disc is key to understanding spine biomechanics, and it is often involved in the cascade leading to spinal deformities [34, 35]. As a matter of fact, one of the

Fig. 3 Example of Bland and Altman graphs with and without errors inherent to the observers



Δ corresponds to observer measurements, + to observer 2, x to observer 3, o to observer 4. Each observer performed two times the measurements.

hypotheses for the genesis of degenerative scoliosis is disc degeneration with increased axial vertebral and intervertebral rotation. Therefore, transverse plane analysis at early stage of the scoliosis seems of particular interest, to diagnose patients with high risk of progression.

Stereoradiography can be used for many applications; its usefulness in scoliosis and sagittal plane analysis in pediatrics has been highlighted, with its biplanar acquisition system in the standing position and its ability to determine relationships among the different spinal segments with 3D

Table 1 Results of the descriptive analysis of the Cobb angle (in degrees) and AVR apex (in degrees)

	Cobb 1	Cobb 2	Cobb 3	AVR cobb 1	AVR cobb 2	AVR cobb 3
Mean	12.1	25.1	27.6	4.9	8.7	19.5
SD	12.3	18.9	19.9	4.1	9.4	20.3
Min	0.0	0.1	0.0	0.0	0.1	0.0
Max	49.1	65.7	79.2	19.4	47.9	98.9

Table 2 Results of the descriptive analysis of transverse plane parameters (in degrees)

	Main cobb	AVR main cobb	Torsion index	Upper AIR	Lower AIR
Mean	31.0	15.7	29.9	6.2	4.8
SD	18.3	15.7	25.2	5.6	4.5
Min	10.2	0.1	1.4	0.0	0.0
Max	71.8	98.9	190	26.9	21.1

Table 3 Results of the descriptive analysis of sagittal parameters (in degrees)

	PI	SS	PT	L1S1	T4T12	T1T12
Mean	54.9	34.4	20.5	47.0	31.7	38.2
SD	12.4	10.8	10.8	16.6	17.4	16.1
Min	35.0	16.7	-0.1	4.2	-7.8	-2.2
Max	83.3	60.1	45.9	79.2	67.8	70.9

analysis [36]. Several studies on adolescent idiopathic scoliosis demonstrated the prognostic importance of transverse plane analysis [29, 30, 35]. One prospective study comparing adolescents with the same age and Cobb angle showed that patients with the greater axial intervertebral rotation had a worst evolution with an increasing deformity. These measurements could be performed on MRI and CT scan; however, it involves a higher radiation dose [37] and these exams are performed in supine position, which might not allow analysis of anatomic factors underlying pain or loss of function in upright position. The low-dose biplanar stereoradiographic system allows upright positioning with 6–9 times less radiation doses than conventional X-rays [38]. Few authors analyzed reproducibility of 3D measurements in AIS [18, 23, 29, 30, 39]. To the best of our knowledge, however, only two studies

focused on ASD, only one of which analyzed axial rotation using the biplanar X-rays system [23, 31]. Comparison between biplanar X-rays and CT scan measurements was performed in a recent study [40]. Authors concluded that vertebral rotation results were not significantly different between biplanar X-rays and CT scan. However, this study was performed on a small cohort (only seven patients included).

In the current study, 3D measurements were found reliable for Cobb angle and sagittal parameters. AIR reproducibility was less accurate for the lumbar levels than thoracic levels, even by changing the contrast and luminosity to better visualize the vertebrae. The present results were close with previously reported values for AIS with standard deviation reliability of $\pm 1.6^\circ$ for coronal, $\pm 2.0^\circ$ for sagittal and $\pm 3.8^\circ$ for apical vertebral rotation [17]. S_R and ICC values gave complementary informations. ICC values corresponded to good, moderate and poor reproducibility for, respectively, AVR apex, torsion index or upper AIR, and lower AIR. Nevertheless, Bland and Altman emphasized the limitations of ICC evaluation, while S_R allows a quantitative estimation of the measurements uncertainty, as $\pm 2 S_R$ [27]. In the current study, higher uncertainty of the lower AIR than upper AIR could be explained by the difficulty to see the lumbo-sacral area in these older patients

Table 4 Results S_R and ICC for transverse plane parameters

	AVR main curve	Torsion index	Upper AIR	Lower AIR
Cobb $<30^\circ$ ($n = 14$)				
S_R ($^\circ$)	7.8	9.6	4.5	4.9
ICC INTER	0.8	0.745	0.731	0.427
Cobb $>30^\circ$ ($n = 16$)				
S_R ($^\circ$)	8.8	20.3	5.4	6.4
ICC INTER	0.725	0.561	0.46	0.358
Entire cohort ($n = 30$)				
S_R ($^\circ$)	8.3	14.2	5.8	5.3
ICC INTER	0.762	0.653	0.595	0.392

(Table 4). In the setting of lumbar degenerative scoliosis, rotatory subluxation often occurs at L3L4 and is associated with a bad visibility of the dislocated level [6, 32]. Moreover, the range of AIR in the current study was the largest at L3L4. Another important finding is the decrease repeatability and reproducibility as Cobb angle increases as shown in Table 4. These findings are concordant with Barsanti's results using a torsionmeter [41]. He also noticed higher measurement errors for higher deformity. Of note, without aberrant error due to observer, there was a slight improvement in lumbar AIR measurement of 2° but not obvious change in coronal and sagittal intervertebral rotation. As a matter of fact, attention of the observer while doing 3D analysis is essential as shown with Bland and Altman graph, since some errors inherent to observer should be avoided (Fig. 4). Overall, these results highlighted the validity of transverse plane analysis for mild deformity and suggested that stereoradiography might be an useful tool for the follow-up of mild deformity: to assess the worsening of the coronal and sagittal curves as well as the rotation.

The current study involves certain limitations. The number of patients is moderate and influence of body mass index on accuracy of the measurements was not assessed.



Fig. 4 Example of patient with risk of measurement errors (poor visibility at L3L4)

Another point is that detailed radiographic analysis of anatomic structures (foramina, facet joint) was difficult for some patients, while degenerative changes such as disc collapse and listhesis were well distinguished. These difficulties could be explained by the severe deformity associated with osteoarthritis and osteoporosis, frequently observed in ASD. Moreover, full-spine 3D reconstruction of ASD patients is time consuming (15–25 min). This issue could be avoided using a “fast spine” protocol but at the cost of less accurate measurements for some parameters. Work is in progress for improvement of the reconstruction method taking more benefit of image processing. For example, a recently developed method offers the possibility of reconstructing body shape in addition to the skeleton with stereoradiography [43]. Such advances should yield improved reliability in the near future.

Conclusion

This study investigated reproducibility and repeatability of 3D measurements in the setting of ASD patients. Three-dimensional analysis was reliable for spinal parameters in the entire cohort. While sagittal and coronal parameters demonstrated a high reliability, transverse plane parameters reliability was more accurate when Cobb angle was below 30° . These lower agreement values might be explained by the severity of deformity and difficulty to distinguish detailed cortical lines due to low bone density. Nevertheless, despite more complex deformity, current results were close to previously published reproducibility studies.

Using biplanar stereoradiographic, 3D reconstructions of the spine might be interesting to better understand 3D spinal deformity in standing position. Thus, it could help the surgeon to anticipate onset of rotatory subluxation while assessing the axial intervertebral rotation evolution for patients with small deformity. Likewise, transverse plane analysis could give interesting information on these mild deformities to prevent for the curve progression and choose the best delay for surgical treatment, depending on the severity of the axial rotation.

Compliance with ethical standards

Conflict of interest None of the authors has any potential conflict of interest.

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